



J.C. BOSE UNIVERSITY OF SCIENCE AND TECHNOLOGY, YMCA, FARIDABAD, HARYANA, (INDIA)

A State Government University (Accredited 'A+' Grade by NAAC)
(Established by Haryana State Legislative Act No. 21 of 2009, Recognized by U.G.C. u/s 2 (f) and 12(B) of U.G.C. Act 1956)
SECTOR-6, MATHURA ROAD, FARIDABAD-121006, HARYANA, (INDIA)

Community College of Skill Development

Lesson Plan: Indian Securities Markets (BIV-101-V)

Program: B.Voc BFSI Semester: 1st Course Code: BIV-101-V Credits: 3

Course Objectives:

The course aims to equip learners with the basic skills required to operate in various security markets. It will help them in understanding the stock exchanges operating in India and their trading mechanism.

Course Outcomes:

After the successful completion of the course, students will be able to:

- CO1: Understand the structure, components and functions of the financial system in India.
- CO2: Explore various financial markets, institutions and instruments and their role in the Indian economic development.
- CO3: Explain the depository system, types of depositories and their functions.
- CO4: Provide comprehensive understanding of the stock exchanges, their market operations, trading and settlement procedures and their regulations.

Equipment required in Classroom/ Laboratory/ Workshop

- i. LCD/Projector
- ii. Whiteboard/ Black Marker

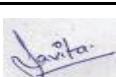
Assessment Scheme

S.No.	Criteria	Marks
1	End Term Examination	75
2	Internal Evaluation Scheme	25
2a	Class Tests	15
2a (i)	Class Test-I	7.5
2a (ii)	Class Test-II	7.5

2(b)	Teacher Assessment (Continuous Evaluation)	10
2b (i)	Attendance	5
2b (ii)	Assignment / Presentation	5

Lecture No.	Topic Covered	Pedagogy	Date of Implementation	Course Outcomes Covered	Faculty Sign
1	Overview of Indian Financial System – Meaning & Structure	Discussion and PPT	04/08/2025	CO1	
2	Characteristics & Significance of the Financial System	Discussion and PPT	05/08/2025	CO1	
3	Components of Financial System: Financial Institutions, Markets, Instruments, and Services	PPT	06/08/2025	CO1	
4	Evolution of Financial System in India	White Board Lecture	07/08/2025	CO1	
5	Role of Financial System in Economic Development	PPT	12/08/2025	CO1	
6	Recent Developments in the Indian Financial System	PPT	13/08/2025	CO1	
7	Introduction to Financial Instruments	Discussion/ PPT	18/08/2025	CO1	
8	Latest Financial Instruments: ETFs, Derivatives, Mutual Funds	White Board Lecture	19/08/2025	CO1 & CO2	

9	Structure of Indian Securities Market	White Board Lecture and Discussion	20/08/2025	CO1 & CO2	
10	Revision and Discussion (Evolution and Structure)	Discussion/ PPT	21/08/2025	CO1	
11	Introduction to Capital Market – Definition and Structure	PPT and White Board Lecture	25/08/2025	CO2	
12	Primary Market: IPOs, FPOs, Role of Intermediaries	White Board Lecture	26/08/2025	CO2	
13	Secondary Market: Trading of Existing Securities	White Board Lecture	27/08/2025	CO2	
14	Types of Securities: Equity vs. Debt and Equity Shares vs. Preference Shares	White Board Lecture	01/09/2025	CO2	
15	Capital market in detail	Discussion with PPT	02/09/2025	CO2	
16	Introduction to Money Market – Meaning and Instruments	White Board Lecture	03/09/2025 and 04/09/2025	CO2	
17	Structure and Developments in the Indian Money Market	White Board Lecture	08/09/2025 and 09/09/2025	CO2	
18	Debt Market – Role, Functions, and Instruments	White Board Lecture	10/09/2025	CO2	
19	Introduction to Derivatives: Options and Futures	PPT	15/09/2025	CO2	
20	Put & Call Options, Futures Contracts – Types and Examples	PPT	16/09/2025	CO2	

21	Introduction to Depository System	PPT	17/09/2025	CO3	
22	Need for a Depository and Its Benefits	PPT	18/09/2025	CO3	
23	Depository Participants (DPs) – Role and Account Opening Process	PPT	06/10/2025	CO3	
24	Legal Framework of Depository System in India	White Board Lecture	08/10/2025	CO3	
25	NSDL – Establishment, Structure, and Functions	Discussion/ PPT	13/10/2025	CO3	
26	CDSL – Establishment, Structure, and Functions	Discussion/ PPT	13/10/2025	CO3	
27	Functions of DP: Dematerialization and Rematerialization	PPT	14/10/2025	CO3	
28	Functions of DP: IPO, Trading, and Settlement	PPT	15/10/2025	CO3	
29	Other DP Services: Nomination and Transmission	White Board Lecture	27/10/2025	CO3	
30	Pledge & Hypothecation	White Board Lecture	28/10/2025	CO3	
31	Introduction to Stock Exchanges in India – NSE & BSE	PPT	29/10/2025	CO4	
32	Functions and Features of Stock Exchanges	PPT	29/10/2025	CO4	
33	Trading Mechanism – Order Types, Price Determination	White Board Lecture	30/10/2025	CO4	
34	Settlement Mechanism and Clearing Process	White Board Lecture	30/10/2025	CO4	

35	Stock Indices: Sensex – Meaning and Calculation	White Board Lecture	03/11/2025	CO4	
36	Stock Indices: Nifty – Meaning and Calculation	White Board Lecture	04/11/2025	CO4	
37	Role, Objectives, and Functions of SEBI	PPT	06/11/2025	CO4	
38	SEBI's Role in Market Regulation and Investor Protection	PPT	10/11/2025	CO4	
39	Role of Stock Exchanges and SEBI in Investor Education	PPT	11/11/2025	CO4	
40	Revision and Discussion on SEBI Reforms	Discussion	12/11/2025	CO4	

Text Books:

1. Punithavathy Pandian, Financial Services and Markets. Vikas Publishing House Pvt. Ltd.
2. H.R. Machiraju. Indian Financial System. Vikas Publishing.

Reference Books:

1. Bhole L. M., Financial Institutions and Markets. Tata McGraw Hill, New Delhi.